

This Page Is Inserted by IFW Operations
and is not a part of the Official Record

BEST AVAILABLE IMAGES

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images may include (but are not limited to):

- BLACK BORDERS
- TEXT CUT OFF AT TOP, BOTTOM OR SIDES
- FADED TEXT
- ILLEGIBLE TEXT
- SKEWED/SLANTED IMAGES
- COLORED PHOTOS
- BLACK OR VERY BLACK AND WHITE DARK PHOTOS
- GRAY SCALE DOCUMENTS

IMAGES ARE BEST AVAILABLE COPY.

**As rescanning documents *will not* correct images,
please do not report the images to the
Image Problem Mailbox.**



INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification ⁵ : C12N 15/62, 15/13, C07K 15/28	A1	(11) International Publication Number: WO 93/15210 (43) International Publication Date: 5 August 1993 (05.08.93)
(21) International Application Number: PCT/EP93/00082 (22) International Filing Date: 15 January 1993 (15.01.93) (30) Priority data: 92101069.0 23 January 1992 (23.01.92) EP (34) Countries for which the regional or international application was filed: AT et al. (71) Applicant (for all designated States except US): MERCK PATENT GMBH [DE/DE]; Frankfurter Str. 250, Postbox 4119, D-6100 Darmstadt (DE). (72) Inventors; and (75) Inventors/Applicants (for US only) : PLÜCKTHUN, Andreas [DE/DE]; Jägerwirthstr. 3, D-8000 München 70 (DE). PACK, Peter [DE/DE]; Wilhelm-Busch-Str. 5/6, D-8000 München 71 (DE).		(81) Designated States: AU, CA, HU, JP, KR, NO, PL, RU, US, European patent (AT, BE, CH, DE, DK, ES, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE). Published <i>With international search report. Before the expiration of the time limit for amending the claims and to be republished in the event of the receipt of amendments.</i>
(54) Title: MONOMERIC AND DIMERIC ANTIBODY-FRAGMENT FUSION PROTEINS (57) Abstract The present invention describes a new class of antigen binding molecules which contain Fv-fragments of an antibody but do not use the constant antibody domains. They can also dimerize with other antibody fragment molecules or with non-antibody fragment molecules to form bi- or multifunctional antibody-fragment fusion proteins and so-called miniantibodies, respectively. The new fusion proteins can be used in the broad field of diagnostic and therapeutical medicine.		

FOR THE PURPOSES OF INFORMATION ONLY

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AT	Austria	FR	France	MR	Mauritania
AU	Australia	GA	Gabon	MW	Malawi
BB	Barbados	GB	United Kingdom	NL	Netherlands
BE	Belgium	GN	Guinea	NO	Norway
BF	Burkina Faso	GR	Greece	NZ	New Zealand
BG	Bulgaria	HU	Hungary	PL	Poland
BJ	Benin	IE	Ireland	PT	Portugal
BR	Brazil	IT	Italy	RO	Romania
CA	Canada	JP	Japan	RU	Russian Federation
CF	Central African Republic	KP	Democratic People's Republic of Korea	SD	Sudan
CG	Congo	KR	Republic of Korea	SE	Sweden
CH	Switzerland	KZ	Kazakhstan	SK	Slovak Republic
CI	Côte d'Ivoire	LJ	Liechtenstein	SN	Senegal
CM	Cameroon	LK	Sri Lanka	SU	Soviet Union
CS	Czechoslovakia	LU	Luxembourg	TD	Chad
CZ	Czech Republic	MC	Monaco	TG	Togo
DE	Germany	MG	Madagascar	UA	Ukraine
DK	Denmark	ML	Mali	US	United States of America
ES	Spain	MN	Mongolia	VN	Viet Nam
FI	Finland				

Monomeric and Dimeric Antibody-Fragment Fusion Proteins

5

The present invention describes a new class of antigen binding molecules which contain Fv-fragments of an antibody but do not use the constant antibody domains. They can also dimerize with other antibody fragment molecules or with non-antibody fragment molecules to form bi- or multifunctional antibody-fragment fusion proteins and so-called miniantibodies, respectively. The new fusion proteins can be used in the broad field of diagnostic and therapeutical medicine.

15

Background of the invention

Since a few years there is a great interest in the biotechnological field to modify naturally occurring antibodies in order to obtain more specified and more individual antibody species. Therefore, attempts have been made to produce (modified) antibody fragments.

All naturally occurring antibodies of all classes have at least two binding sites. This enables them to bind to a surface with a greater functional affinity (also called avidity) than monovalent fragments, such as Fab fragments. Over the last few years, methods have been described (Skerra and Plückthun, 1988, Science 240, 1038-1040 ; Better et al., 1988, Science 240, 1041-1043) with which

30

2

functional antibody fragments can be produced in *Escherichia coli*. These include the Fv fragment (the heterodimer consisting of V_H and V_L) and the Fab fragment (consisting of the complete light chain with the domains V_L and C_L as well as the first two domains of the heavy chains V_H and C_{H1}).

The Fv fragment, however, has a tendency to dissociate into V_H and V_L and therefore, it is advantageous to link the two domains covalently. One particular way of linking them is by designing a peptide linker between them, either in the orientation V_H -linker- V_L or V_L -linker- V_H (Bird et al., 1988, Science 242, 423; Huston et al., 1988, Proc. Natl. Acad. Sci. USA 85, 5879) The resulting fragments are called single-chain Fv fragments.

All these fragments are, however, monovalent. We describe in this invention a method to engineer small dimerization domains based on peptides forming amphipathic helices. These peptides will be referred to as "intercalating", but this term is only meant to express the ability of targeted association and not a restriction referring to a particular structure of the dimerization interface.

While the methodology described here, is in principle applicable to either Fab, Fv or scFv fragments, it is the latter for which their use is most advantageous. In this case bivalent fragments can be constructed of very small size, and still the dissociation into V_L and V_H as well as the wrong matching of fragment chains, e.g. V_L - V_L , can be prevented.

Antibody fragments of small size are of particular advantage in many applications. In diagnostic applications (e. g. ELISA, RIA, etc.), the smaller molecules surface decreases the problems of nonspecific interactions, which are known to frequently involve the constant domains. The same is true in using antibody fragments as ligands in affinity chromatography. In tumor diagnostics or therapy, it is important that a significant proportion of the injected antibody penetrates tissues and localizes to the tumor, and is dependend on the molecular dimensions (Colcher et al., 1990, J. Natl. Cancer Inst. 82, 1191-1197). Expression yields and secretion efficiency of recombinant proteins are also a function of chain size (Skerra & Plückthun, 1991, Protein Eng. 4, 971) and smaller proteins are preferred for this reason. Therefore, molecules of a small size are advantageous for several reasons.

Previously, decreasing the molecular dimensions of the antibody meant the preparation of proteolytic fragments. The smallest bivalent fragments, (Fab)'₂ fragments, are still about twice the size of the present fragments of this invention. Therefore, these new fragments combine three features: (a) small size, (b) bivalence or bifunctionality and (c) ability of functional expression in E. coli.

There is great interest in bifunctional antibodies in a large number of areas. Bifunctional antibodies may be defined as having two different specificities for either two different antigens or for two epitopes of the same antigen.

There are currently a number of methods how to produce bifunctional antibodies. However, none of the existing methods allows to produce exclusively bifunctional antibodies in vivo, but rather a mixture of molecular species always occur,
5 requiring complicated and expensive separation procedures.

Four principal methods can be distinguished. In the first, chemical crosslinking is used, which may advantageously use heterobifunctional crosslinkers. By this method, whole antibodies (Staerz et al., 1985, Nature 314, 628; Perez et al,
10 1985, Nature 316, 354-356), Fab fragments (Carter et al., 1992, Biotechnology 10, 163) and scFv fragments (Cumber et al., 1992, J. Immunol. 149, 120) have been chemically crosslinked after purification.

15 The second previous method involved the fusion of two hybridomas to give a so-called heterohybridoma or "quadroma". In this method, any light chain can pair with any heavy chain, and the two heavy chains can give homodimers or heterodimers
20 resulting in very complicated product mixtures (Milstein & Cuello, 1983, Nature 305, 537).

The third method is related to the second and consists of transfecting two expression plasmids into a hybridoma cell,
25 encoding the heavy and light chain of the second antibody (Lenz & Weidle, 1990, Gene 87, 213) or a retroviral vector (De Monte et al., 1990, Acad. Sci. 87, 2941-2945). However, once introduced, the product mixture is identical as in the second procedure.

30

Finally, antibodies have been reduced, mixed and reoxidized (Staerz & Bevan, 1986, Immunology Today 7). Again, very complicated product mixtures are obtained requiring sophisticated separation and quality control procedures.

5

Thus a method is still needed allowing the isolation of exclusively heterodimeric antibodies directly without the complicated preparation required from chemical crosslinking. In the present invention, this problem is solved by (i) covalently linking corresponding VH and VL domain in a scFv fragment and (ii) using dimerization domains only allowing the formation of heterodimers, such as certain leucine zippers and derivatives.

10

Another important consideration in the present invention was the desire to make the MW of the bispecific antibody as small as possible for reasons explained above in detail. This was achieved by using scFv fragments.

15

A number of uses of bispecific antibodies have been described, and most of them would benefit from this new technology. For example, bispecific antibodies are of great interest in tumor therapy. One arm of the antibody may bind to a tumor marker, the other arm to a T-cell epitope, a toxin, or a radionuclide binding peptide or protein to bring a killing function close to the tumor cell. In diagnostics, one arm may bind to the analyte of interest and the other to a principle which can easily be quantified, e. g. an enzyme. Finally, in cellular applications, it may be advantageous to obtain higher selectivity in binding, if two different epitopes or the same protein complex can be recognized or if two different proteins can be recognized on the same cell surface.

25

30

Thus, it was object of the invention to create new individual and stable antibody fragment fusion proteins with bi- or even multifunctional binding sites.

- 5 It has been found that antibody fragment fusion proteins containing Fv-fragments could be produced by genetic engineering methods which show specified and improved properties.

10 Object of the invention is, therefore, a monomeric antibody-fragment fusion protein essentially consisting of a Fv-fragment of an antibody and a peptide which is capable to dimerize with another peptide by noncovalent interaction.

15 The term "noncovalent interaction" means every existing under normal conditions stable linkage which is not related to a covalent binding, for example linkage by Van der Waal's forces, (steric) interdigitation of amphiphilic peptides, especially peptide helices, or peptides bearing opposite charges of amino acid residues. The correspondingly effective
20 peptides are called above and below interactive or intercalating peptides.

The amphiphilic peptides consist of up to 50 amino acids. Preferably they consist of 10 to 30 amino acids. In a preferred embodiment of the invention the interactive peptide is
25 a peptide helix bundle (comprising of a helix, a turn and another helix, see above). In another embodiment the interactive peptide is a leucine zipper consisting of a peptide having several repeating amino acids, in which every seventh
30 amino acid is a leucine residue. In other cases according to the invention the peptide bear positively or negatively

charged residues, e.g. lysine (positively charged) or glutamic acid (negatively charged) in a way that this peptide can bind to another peptide (of a second monomeric unit) bearing opposite charges.

5

The Fv-fragment and the intercalating peptide are linked together either directly or by a linker peptide, preferably by a linker peptide. In a preferred embodiment the linker peptide is a hinge region sequence of an antibody.

10

As defined, the Fv-fragment consists of the V_L and V_H region of an antibody. The Fv-fragment according to the invention is preferably a single chain fragment. Single chain fragments can be obtained by standard techniques using standard linker molecules.

15

Furthermore, object of the invention is a dimeric fusion protein essentially consisting of two monomeric fusion proteins, wherein the linkage of the monomeric units bases on noncovalent interaction of identical or different peptides, characterized in that at least one monomeric unit is an antibody-Fv-fragment fusion protein as defined above.

20

If the dimer contains two Fv-fragments, the Fv-fragments may be the same (identical antigen binding sites) or may be different (different antigen binding sites). In these cases mono- and bispecific (Fv)- miniantibodies can be obtained. According to the invention bispecific mini-antibodies are preferred.

25

30

The interactive peptides may be the same or may be different; preferably, they are identical. The intercalating peptides may be associated in parallel or in antiparallel fashion.

5 Object of the invention is, therefore, above all, a dimeric fusion protein consisting of two Fv-fragments with different specificity (antigen binding sites) and identical intercalating helix peptides, the antibody fragments and the peptides are linked together by a hinge region sequence.

10

Furthermore, object of the invention is a dimer consisting of a monomeric unit containing a Fv-fragment and another monomeric unit wherein the Fv-Fragment was replaced by a non-antibody peptide. The non-antibody peptide may be a toxin, like
15 ricin, a chelator- or metal binding peptide, or an enzyme (e.g. marker enzyme), or a peptide bearing a detectable label (e.g. a radioisotope).

The non-antibody peptide can also bear a corresponding binding site for said groups, binding sites directed to T-cells
20 or T-cell fragments included.

Furthermore, the invention relates to monomers and dimers, as defined above, wherein the interactive peptide(s) is (are)
25 additionally fused at the C-terminus to target proteins/peptides as mentioned above, the corresponding binding sites included. Thus, the resulting fusion proteins and miniantibodies, respectively, are multifunctional.

30

The invention relates, furthermore, to a process for preparation of a monomeric antibody fusion protein as defined above, characterized in that the genes coding for the Fv-fragment, the interactive peptide and, if desired, the linking peptide
5 are cloned into one expression plasmid, a host cell is transformed with said expression plasmid and cultivated in a nutrient solution, and the monomeric fusion protein is expressed in the cell or secreted into the medium.

10 Object of the invention is, finally, a process for preparation of a dimeric fusion protein as defined above, characterized in that the genes coding for the complete monomeric fusion proteins or parts of it are cloned at least into one expression plasmid, a host cell is transformed with said
15 expression plasmid(s) and cultivated in a nutrient solution, and either the complete dimeric fusion protein is expressed in the cell or into the medium, or the monomeric fusion proteins are separately expressed and the noncovalent linkage between the two monomeric units is performed in the
20 medium or in vitro, and in the case that only parts of the fusion proteins were cloned, protein engineering steps are additionally performed according to standard techniques.

The dimeric Fv-fragments containing fusion proteins according
25 to the invention show a high avidity against corresponding antigens and a satisfying stability. These novel bivalent or bifunctional molecules can be prepared as folded and assembled molecules in E. coli. These miniantibodies are compatible with functional expression by secretion.

Detailed description of the invention

The oligomerization domains were selected for having a fairly small molecular weight and for being compatible with transport of the fusion protein through the membrane. They are based on two different types of amphiphilic helices.

Amphiphilic helices are known to predominantly, but not exclusively, associate in two different molecular structures: Four helix bundles and coiled coils. The design and formation of helix bundles has been studied previously (Eisenberg et al., 1986, Proteins 1, 16-22; Ho and deGrado, 1987, J. Am. Chem. Soc. 109, 6751-6758; Regan and deGrado, 1988, Science 241, 976-978 ; Hill et al., 1990, Science 294, 543-546). This molecule association is also known from natural proteins (Richardson, 1981, Adv. Prot. Chem. 34, 167).

The four helix bundle may be formed from either four separate molecules (each contributing one helix), two molecules containing two helices each (connected as helix-turn-helix) or one molecule containing a helix-turn-helix-turn-helix-turn-helix motif. For dimerization or multimerization, only the first two are suitable.

Three variations of this latter theme were tested. In the first, one helix of the sequence given in Eisenberg et al. (1986) (Proteins 1, 16-22) was used. In the second, this sequence was extended by a small hydrophilic peptide ending in a cysteine. Once the helices are associated, the hydrophilic peptides are held in sufficiently close contact that they

can collide and a disulfide bond can form under oxidizing conditions, as in the periplasm of *E. coli*. In the third variation, two helices are used in tandem, separated by a short turn encoding peptide.

5

In the second design, peptides are used which can form so-called coiled-coil structures. Such peptides occur in transcription factors such as e. g. GCN4 from yeast and have been called leucine zippers (Landschulz et al., 1988, Science 240, 1759-1764). The crystal structure of this has been solved recently (O'Shea et al., 1991, Science 254, 539-544) and showed a parallel arrangement of the helices.

10

A covalent attachment of the helices is possible by a small peptide extension, again containing a cysteine. Since the helices are now parallel, the peptide extension can be much shorter, since the distance is much smaller.

15

The various dimerization devices (intercalating helices) were however not fused to the antibody domain directly. It is advantageous to introduce a flexible peptide between the end of the scFv fragment and the beginning of the helix. As an example, the upper hinge region of mouse IgG3 has been used. However, a variety of hinges can be used. It is not required for dimerization per se, but provides a spacing of the two scFv domains similar to the antigen binding sites of a whole antibody. This way, the two binding sites span a greater distance in space and therefore can reach neighboring antigens on a solid surface.

20

25

30

The naturally occurring hinges of antibodies are preferred embodiments of hinges in bivalent miniantibodies. In the case of bifunctional miniantibodies, the hinges may be shorter, since frequently molecules from different surfaces are to be crosslinked as close as possible, and flexibility of the dimer is not necessary. The choice of the hinge is governed by the desired residue sequence, length (Argos, 1990, J. Mol. Biol. 211, 943-958), compatibility with folding and stability of the amphiphilic helices (Richardson & Richardson, 1988, Science 240, 1648-1652), secretion and resistance against proteases.

The present invention deals with peptides as dimerization devices, which should be as small as possible. One preferred embodiment is the use of peptides which can form amphipathic helices. Such helices shield the hydrophobic surface by dimerization or even multimerization. Helices of this type are characterized by their having hydrophobic patches on one face of the helix, and containing a sufficient number of helix-forming residues. Rules for such peptides are discussed in Eisenberg et al. 1986, O'Shea et al., 1991 (Science 254, 539-544), 1992 (Cell 68, 699-708).

Natural peptides of this type are found as the so-called leucine zippers, characterized by a periodic occurrence of leucine (every seventh residue) and other hydrophilic residues (e. g. valine) also every seventh residue. As these principles are now understood (O'Shea et al. 1991, 1992, literature cited), the sequence can be varied to incorporate

residues which make the association of homodimers unfavorable, but favors the association of heterodimers. Such sequence alteration can e. g. involve the incorporation of charge bridges, such that in the homodimers, like charges
5 repel each other and in the heterodimer, opposite charges attract each other (see below).

The present invention can also be extended to bifunctional miniantibodies. In this case, dimerization devices (inter-
10 calation peptides) have to be used which will only allow the formation of heterodimers, but not homodimers. A preferred embodiment of this part of the invention are two different coiled-coil helices, such as in naturally occurring leucine zippers, e. g. from the transcription factor proteins jun and
15 fos (O'Shea et al., 1989, Science 245, 646-648).

In a further embodiment of the invention, the constant scFv-hinge-helix can be extended at the C-terminus to result in a fusion protein. For example, a fusion to an enzyme may be
20 made to use such bivalent constructs in diagnostics. Such enzymes are e. g. alkaline phosphatase, luciferase or horse radish peroxidase. The advantage of such a antibody-enzyme fusion protein would be that the bivalence of the antibody would lead to an enhanced binding to the surface-bound anti-
25 gen. The advantage over a fusion protein prepared by conventional technology (i. e. chemical coupling of the antibody to the enzyme of choice) would be a greater batch-to-batch consistency; homogeneity of the product and the much simpler method of preparation, namely from E. coli in a single step.

In the same fashion, the miniantibodies may be extended at the C-terminus to incorporate a toxin. Such immunotoxins would be bivalent or even bispecific and thus combine the advantages of such antibody fragments linked above with the advantages in tumor therapy known for immunotoxins. Similarly, a metal binding peptide or protein could be linked genetically to be used in radioimmunotherapy or in tumor imaging. The same advantages for any genetically encoded hybrid protein hold true as given above for the antibody-enzyme fusions.

In another embodiment of the invention, a construct of the type scFv-hinge-helix may be made to dimerize with another protein fused to a dimerization domain, in complete analogy as described above for the formation of bispecific miniantibodies. In this fashion, the scFv fragment would e. g. be fitted with the helix of the fos protein. Such foreign protein, which could be made to form heterodimers with the scFv fragment, include enzymes useful in diagnosis, toxins, metal-binding peptides or proteins useful in radioimmunotherapy or radio-imaging.

Using the principles of this invention, the dimerization domains presented here can also serve for purification purposes. A recombinant protein of any kind can be fused to a dimerization domain, e.g. to hinge-fos-zipper. After coexpression with a scFv-hinge-jun, the heterodimer can be purified in one step with an affinity column for the scFv-specificity. In an alternative approach, the 'opposite' zipper, linked to a column support, 'catches' the protein-hinge-zipper when passing through the column as a crude cell extract.

The elution of the pure fusion protein from the column is possible using the unfolding temperature of the zipper. A subsequent separation from the dimerization domain is achievable by introduction of a proteolytic site, e.g. for blood clotting factor Xa, into the hinge (Nagai & Thogerson, 1987, Meth. Enzymol. 152,,461-481).

A particular advantage of the miniantibodies described in this invention is the ability to assemble functionally in *Escherichia coli*. In the case of homobivalent constructs, a dimerization principle is used which allows the formation of homodimers. Examples described above include the coiled-coil helix (leucine zipper) of the yeast protein GCN4 or the helices from an antiparallel 4-helix bundle. In this case, the scFv fragment is expressed in the presence of a bacterial signal sequence and carries at the end of the gene of the scFv fragment the codons for a hinge and the dimerization helix or helix-turn-helix. The helices are compatible with secretion to the periplasmic space in *E. coli*, where protein folding, disulfide formation and assembly occurs. Under these conditions, the homodimeric proteins form by themselves and can directly be isolated in the dimeric form.

If heterobivalent constructs are desired, two different scFv fragments or one scFv fragment associating with a different protein need to associate. In the preferred embodiment of this invention, both proteins to be assembled are expressed in the same cell, preferably on the same plasmid, preferably as a dicistronic operon. The design of artificial dicistronic

perons is explained e.g. in Skerra et al. (1991, Protein Eng. 4, 971). Since the assembly must take place in the periplasm, because the scFv fragment can only fold in the oxidizing milieu, both proteins must be transported and both must be fitted with a signal sequence. The dimerization peptides must be chosen such that they promote the association of two different proteins, but prevent the association of the respective homodimers. Examples of such proteins are the leucine zipper peptides of the proteins fos and jun (see above).

When not expressed in the same cell, the different scFv-hinge-zipper constructs have to be mixed together as a crude cell extract or purified protein and treated with raised temperature. In absence of the 'opposite' zipper, e.g. a scFv-hinge-jun-zipper construct is able to form homodimers. After short heating to the melting temperature of around 40-C, the zippers of the unwanted homodimer unfold and form a much more stable heterodimer (O'Shea et al., 1992, Cell 68, 699-708). Without raising the temperature, formation of heterodimers in vitro is not possible, as tested in experiments.

Short Description of the Figures and the Sequence Listing

Fig. 1 scFv-Expression vector pLISC-SE containing the scFv-fragment.

Fig. 2 Dicistronic scFv-hinge-zipper expression vector pACKxFyJ.

Fig. 3 Functional ELISA;

- 5 The concentrations of the affinity purified proteins, measured by OD₂₈₀ (vertical axis), refer to the molar number of binding sites per well (horizontal axis). The ELISA plates were coated with phosphocholine-BSA, and the purified phosphocholine-specific miniantibody-proteins were bound and detected by an anti-McPC603 antiserum.
- 10 (a) Comparison of various miniantibodies.
(b) Comparison of miniantibody SCHLXc with ScFV and whole IgA.

Fig. 4 Functional Anti-lysozyme ELISA;

- 15 PC-affinity purified samples of coexpressed anti-PC-anti-lysozyme bispecific miniantibody. + and - on the horizontal axis means: plus inhibitor (+) and without inhibitor (-).

- 20 The attached sequence listing refers to sequence identity numbers (S.I.N.):

S.I.N. 1: Whole nucleotid- and amino acid sequence of the pLISC-SE vector.

25 S.I.N. 2: Gene cassette of intercalating GCN4-leucine zipper (nucleotid- and amino acid sequence).

30 S.I.N. 3: Gene cassette encoding intercalating antiparallel helix-turn-helix (nucleotid- and amino acid sequence).

- S.I.N. 4: Gene cassette encoding intercalating jun-zipper and IgG3-hinge region.
- 5 S.I.N. 5: Gene cassette encoding intercalating fos-zipper and IgG3-hinge region.
- S.I.N. 6: Gene cassette encoding intercalating jun-zipper and designed linker.
- 10 S.I.N. 7: Gene cassette encoding intercalating fos-zipper and designed linker.
- Example 1: Construction of vectors for secreted single-chain fragments, containing a restriction site for
15 introducing genes for intercalating peptides.

Recombinant DNA-techniques were based on Sambrook et al. (1989, Molecular Cloning: A laboratory manual. Second edition. Cold Spring Harbor Laboratory, New York). Functional
20 expression of the single-chain Fv fragments and the miniantibodies in E. coli JM83 was carried out with vectors similar to pASK-lisc (Skerra et al., 1991, Protein Eng. 4, 971). Site directed mutagenesis was directly performed in these vectors according to Kunkel et al. (1987, Meth. Enzy-
25 mol. 154, 367-382) and Geisselsoder et al. (1987, Biotechniques 5, 786-791) using the helper phage M13K07 (Vieira & Messing, 1987, Meth. Enzymol. 153, 3-11). SDS-PAGE was carried out as described by Fling and Gregerson (1986, Anal. Biochem. 155, 83-88). Concentrations of affinity-purified
30 proteins were measured by OD₂₈₀ using calculated extinction

coefficients (Gill & von Hippel, 1989, Anal. Biochem. 182, 319-326). A vector such as pASK40 (Skerra et al., 1991, Protein Eng. 4, 971) is used, which contains an origin of replication, a regulatable promotor, a bacterial signal sequence
5 followed by a multiple cloning site, a transcription terminator and an origin for single stranded phages. The gene for the single-chain Fv fragment is designed as follows: The nucleotide sequence of a V_H domain is directly followed by a linker sequence encoding preferably about 15 residues, preferably of
10 the sequence $(Gly_4Ser)_3$, followed directly by the sequence of the V_L domain. Alternatively, the sequence of the V_L domain may be directly followed by the sequence of the linker, followed by the sequence of the V_H domain.

15 If the antibody is of known sequence, the complete gene of the scFv fragment may be assembled from synthetic oligonucleotides. A detailed experimental procedure for such a gene synthesis of an antibody gene is e.g. given in Plückthun et al. (1987, Cold Spring Harbor Symp. Quant. Biol. 52,
20 105-112).

If the genes of the V_H and V_L domains are present in other vectors, the gene for the scFv fragment may be assembled from
25 restriction fragments. For example, a restriction fragment encoding most of the V_H domain may be excised from another plasmid, and a fragment encoding most of the V_L domain may be excised from a plasmid. The remaining pieces of V_L and V_H and

30

the linker for the scFv fragment can be provided by cassettes of synthetic oligonucleotides, which need to be ligated by standard methodology (Sambrook et al., 1989, literature cited). The mixture of fragments is ligated into the vector
5 pASK40 or a similar plasmid containing a pair of suitable restriction sites.

If the genes of the antibody have not been cloned before, they may be directly obtained from the hybridoma cell producing the antibody by the polymerase chain reaction (PCR; PCR methodology is described in McPherson et al., 1991, PCR-A
10 Practical Approach Oxford University Press, New York). Primers suitable for amplification of V_H and V_L domains have been given by Orlandi et al., 1989, Proc. Natl. Acad. Sci. USA 86,
15 3833-3837; Huse et al., 1989, Science 246, 1275-1281; Larrick et al., 1989, Bio-technology 7, 934-938. The methodology of obtaining mRNA from hybridoma is described in these references as well. The separate V_H and V_L genes may be cloned into
20 separate vectors, and the scFv gene assembled according to the principles explained above.

If the ligated fragments do not result in a correct reading frame of the scFv fragment, a precise fusion with the signal sequence codons resident on the plasmid may be generated by
25 site directed mutagenesis. The design of the oligonucleotides and the execution is possible for anyone skilled in the art.

5 The scFv expression plasmid so obtained contains the codons for a bacterial signal sequence, directly followed by the first variable domain (V_H or V_L), a linker and the second variable domain (V_L or V_H) under the control of a regulatable promotor.

10 At the 3' end of this genes, corresponding to the C-terminus of the scFv protein, a unique restriction site is introduced into the expression plasmid to allow insertion of cassettes coding for the intercalating peptides. The restriction site is introduced by site directed mutagenesis using the method of Kunkel (1987, Meth. Enzymol. 154, 367-382).

15 An example of the complete sequence of a suitable single-chain Fv expression plasmid pLISC-SE for receiving an intercalation peptide is shown in Fig. 1 and Sequence Identity No. (S.I.N.) 1 (see Sequence Listing).

20 **Example 2:** Design and construction of a gene cassette encoding intercalating peptides of a leucine zipper.

25 The gene cassette, fitted with restriction sites to be compatible with the restriction site at the 3' end of the scFv fragment gene, must encode the sequence of a hinge (connection the scFv fragment to the intercalating peptide) and the intercalation peptide itself. The hinge region, may however also be obmitted.

30 As an example the sequence of the upper hinge region of mouse IgG3 (Dangl et al., 1988, EMBO J. 7, 1989-1994), followed by the sequence of the leucine zipper sequenc of the yeast protein GCN4 (Oas et al., 1990, Biochemistry T29, 2891-2894),

is back-translated into frequently used *E. coli* codons (S.I.N.: 2). Oligonucleotides are synthesized, and ligated into the vector pLISC-SE, previously digested with EcoRI and Hind III.

5

Example 3: Design and construction of a gene cassette encoding intercalating peptides of a four-helix bundle.

10 Analogous to Example 2, the sequence of the upper hinge region of mouse IgG3, followed by the sequence of the helix-turn-helix of a four helix bundle (Eisenberg et al., 1986, literature cited) is backtranslated into frequently used *E. coli* codons (S.I.N.: 3). Oligonucleotides are synthesized,
15 and ligated into the vector pLISC-SE, previously digested with Eco RI and Hind III.

Example 4: Design and construction two gene cassettes encoding intercalating peptides of a leucine zipper
20 and their co-expression.

Analogous to Example 2, the sequence of the upper hinge region of mouse IgG3 followed by the sequence of the zipper sequence of the jun protein (O'Shea et al., 1992, literature
25 cited), is backtranslated into frequently used *E. coli* codons (S.I.N.: 4). Oligonucleotides are synthesized, and ligated into the vector pLISC-SE, previously digested with EcoRI and Hind III.

30

In a parallel reaction, the sequence of the upper hinge region of mouse IgG3, followed by the sequence of the zipper sequence of the fos protein (O'Shea et al., 1992, Cell 68, 699-708), is backtranslated into frequently used E. coli codons (S.I.N.: 5). Oligonucleotides are synthesized, and ligated into the vector pLISC-SE, previously digested with Eco RI and Hind III. The two vectors thus each code for a different antibody scFv fragment, followed by a hinge peptide and a different leucine zipper peptide. To co-express the two scFv fragments, the whole scFv-hinge-zipper gene of the fos-containing product is excised from the vector as a Xba I-Hind III fragment and ligated into the vector, pLISC-SE-scFv-jun, containing already the scFv gene of the other antibody.

The newly obtained vector then expresses the scFv₁-linker₁-fos-zipper and the scFv₂-linker₂-jun-zipper from a single promoter as a dicistronic operon.

An improved sequence for the hinge region in the context of fos and jun zippers is given in S.I.N.: 6 and 7. This hinge is shorter and therefore not as susceptible to proteolysis. In cases, where the distance between the two binding sites is of less importance, such shortened hinges may be advantageous. In this case, the "tail" of the scFv fragment has been shortened and the EcoRI site, which receive the genes for the intercalating peptides has been moved four residues upstream.

Example 5: Purification of bivalent miniantibody from E. coli.

5 E. coli JM83, harboring a plasmid constructed as in examples II and III, are grown to an O.D. 550 of 0.5 and induced with IPTG at a final concentration of 1 mM. The cells are centrifuged, resuspended in BBS buffer (200 mM Na-borate, 160 mM NaCl, pH 8.0) and the suspension is passed through a French press. In these examples, a phosphorylcholine binding minian-
10 tibody is used. The miniantibody is purified by passage over a phosphorylcholine affinity chromatography as described (Chesebro and Metzger, 1972, Biochemistry 11, 766-771).

Example 6: Purification of a bispecific miniantibody from E. coli

15 E. coli JM83, harboring a plasmid constructed as in examples II and III and containing a dicistronic structural gene for two different scFv (Fig. 2), are grown to an O.D. 550 of 0.5 and induced with IPTG at a final concentration of 1mM. The
20 cells are centrifuged, resuspended in BBS buffer (200 mM Na-borate, 160 mM NaCl, pH 8.0) and the suspension is passed through a French press.

In this example, a bispecific miniantibody is used containing
25 both a specificity for phosphorylcholine as well as benzoyl-ampicillin. The miniantibody is purified by passage over a phosphorylcholine affinity chromatography as described (Chesebro and Metzger, 1972, literature cited).

Example 7: Surface binding of bivalent miniantibodies

The ELISA-plates (Nunc, Macrosorp) were coated with 400 µg/ml phosphocholine-BSA in PBS buffer (20 mM phosphate, pH 7.2, 115 mM NaCl). The hapten reagent was prepared from nitro-phenyl phosphocholine (Sigma), which was reduced and diazotized essentially as described (Chesebro & Metzger, 1972, literature cited), and reacted by azo-coupling to BSA (Sigma) in borate-saline buffer (52.5 mM sodium borate, pH 9, 120 mM NaCl) at 4°C for 48 hours with subsequent dialysis against PBS. After blocking the non-coated plate surface with 5% skim milk (Nestle) in PBS buffer for at least 2 hours, the periplasmic extract or the purified protein was incubated in BBS buffer on the plate for 90 min at room temperature. After thorough washing (3 times), remaining functional antibody fragments were detected according standard procedures (Harlow & Lane, 1988, "Antibodies, A Laboratory Manual", Cold Spring Harbor Laboratory, 555-592) with rabbit anti-McPC603 serum and anti-rabbit immunoglobulin linked to peroxidase (Sigma) according to Gallati (1979, Clin. Chem. Clin. Biochem. 17, 1-4).

An enormous gain in binding, and thus sensitivity, is observed for all miniantibody constructs, compared to the monomeric scFv fragment. This is consistent with the simultaneous binding of two or even more binding sites to the same surface. The avidity of the fusion protein scHLXc was comparable to the natural antibody McPC603, which could be detected with antigen-coated ELISA, while the monomeric scFv fragment could only be detected with hundred-fold higher concentrations (Fig. 3 a, b). All binding is nearly totally